

Form PTO-1449
(Rev. 2-83)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO. 1171/41365
Case 135

SERIAL NO. 10/656,574

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

APPLICANT: Smith et al.

FILING DATE: September 5, 2003

GROUP Not yet assigned

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|----------|-----------------|-------|--------------|----------------------------------|
| JAH | 4 7 0 8 8 3 1 | 11/24/87 | Eisworth et al. | | | |
| | 5 9 8 3 8 9 6 | 11/16/99 | Fukunaga et al. | | | |
| | 5 2 8 4 1 6 0 | 02/08/94 | Dryden | | | |
| | 4 4 6 3 7 5 5 | 08/07/84 | Suzuki | | | |
| | 4 4 6 2 3 9 7 | 07/31/84 | Suzuki | | | |
| | 4 2 6 5 2 3 5 | 05/05/81 | Fukunaga | | | |
| | 3 8 5 6 0 5 1 | 12/24/74 | Bain | | | |
| | 4 3 3 7 8 0 0 | 07/06/82 | Carlson et al. | | | |
| | 5 2 3 3 9 9 6 | 08/10/93 | Coleman et al. | | | |
| | 4 9 6 7 7 4 4 | 11/06/90 | Chua | | | |
| | 5 0 4 2 5 0 0 | 08/27/91 | Norlien et al. | | | |
| | 6 3 9 4 1 4 5 | 05/28/02 | Bailly | | | |
| | 4 3 4 3 6 7 2 | 08/10/82 | Kanao | | | |
| | 4 8 0 8 2 0 1 | 02/28/89 | Kertzman | | | |
| | 4 0 4 8 9 9 3 | 09/20/77 | Dobritz | | | |
| | 5 4 6 1 1 2 2 | 10/24/95 | Yilgor et al. | | | |
| | 4 7 0 5 5 4 3 | 11/10/87 | Kertzman | | | |
| | 5 6 3 7 1 6 8 | 06/10/97 | Carlson | | | |
| | 5 8 4 8 2 2 3 | 12/08/98 | Carlson | | | |
| JAH | 5 4 5 4 0 6 1 | 09/26/95 | Carlson | | | |

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| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION | |
|-----|------------------|------------|---------------|-------|--------------|-------------|----|
| | | | | | | YES | NO |
| JAH | 2 1 3 9 1 1 0 | 11/07/84 | Great Britain | | | | |
| | 20 0 0 2 4 1 1 1 | 01/25/00 | Japan | | | | |
| | 5 0 5 2 3 7 8 | 03/02/93 | Japan | | | | |
| | 6 2 2 3 6 7 2 4 | 10/16/1987 | Japan | | | | |
| | 6 2 1 0 5 0 | 10/26/94 | Europe | | | | |
| JAH | 0 5 5 7 0 4 0 | 8/25/1993 | Europe | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | |
|-----|--|
| JAH | One page off the Perma Pure Inc. website of the product brochure #104 of the New PD™-Series Gas Dryers. |
| JAH | Three pages off the SympaTex website of some of the most common questions that are asked and some technical data on the SympaTex membrane. |
| JAH | United States Patent Application Publication No.: US2002/0195104, Published on December 26, 2002, Inventor Finl et al. entitled: APPARATUS FOR INCREASING THE HUMIDIFICATION OF THE AIR STREAM BREATHED BY A PATIENT |
| JAH | United States Patent Application Publication No.: US2003/0028139, Published on February 8, 2003, Inventor Inoue; entitled: PNEUMOPERITONEUM GAS HEATING AND HUMIDIFYING APPARATUS AND DEVICE FOR INDUCING PNEUMOPERITONEUM |

EXAMINER

JAH Aftergut

DATE CONSIDERED

3/16/06

*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PATENT & TRADEMARK OFFICE

Form PTO-1449
(Rev. 2-83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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|---|------------------------|-----------------------|
| ATTY. DOCKET NO. 1171/41365 Case 135 | | SERIAL NO. 10/656,574 |
| APPLICANT: Smith et al. | | |
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(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|----------|--------------------|-------|--------------|----------------------------------|
| JAG | 4 5 0 9 3 5 9 | 04/09/85 | Gedeon et al. | | | |
| | 5 5 0 1 2 1 2 | 03/28/98 | Pearos | | | |
| | 6 5 2 3 5 3 8 | 02/25/03 | Wikefeldt | | | |
| | 5 1 6 0 5 1 1 | 11/03/92 | Lovelock | | | |
| | 6 4 3 2 1 6 9 | 08/13/02 | Kluwe et al. | | | |
| | 5 6 2 0 5 0 0 | 04/15/97 | Fukul et al. | | | |
| | 4 8 7 5 9 0 8 | 10/24/89 | Kikukawa et al. | | | |
| | 4 8 4 4 7 1 9 | 07/04/89 | Toyomoto et al. | | | |
| | 4 4 6 9 4 9 5 | 09/04/84 | Hiratzumi et al. | | | |
| | 3 8 9 5 6 3 0 | 07/22/75 | Bachman | | | |
| | 3 8 9 1 5 5 6 | 06/24/75 | Richardson et al. | | | |
| | 3 7 3 5 5 5 9 | 05/29/73 | Salemme | | | |
| | 3 7 3 5 5 5 8 | 05/29/73 | Skarstrom et al. | | | |
| | 3 4 3 4 4 7 1 | 03/25/69 | Liston | | | |
| | 3 3 6 7 8 5 0 | 02/06/68 | Johnson | | | |
| | 3 3 0 7 3 3 0 | 03/07/67 | Niedzielski et al. | | | |
| | 3 3 0 3 1 0 5 | 02/07/67 | Konikoff | | | |
| | 3 2 9 2 3 4 6 | 12/20/66 | Adams | | | |
| | 3 2 4 5 2 0 6 | 04/12/66 | Bonnet | | | |
| | 3 2 2 8 8 7 7 | 01/11/66 | Mahon | | | |
| JAG | 3 1 4 4 3 1 3 | 08/11/64 | Pfefferle | | | |

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| EXAMINER <i>JAG Aftergut</i> | DATE CONSIDERED <i>3/16/06</i> |
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449
(Rev. 2-83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 1171/41365
Case 135

SERIAL NO. 10/656,574

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

(Use several sheets if necessary)

APPLICANT: Smith et al.

FILING DATE: September 5, 2003

GROUP

Not yet assigned

| U.S. PATENT DOCUMENTS | | | | | | | | | | | | |
|-----------------------|-----------------|---|---|---|---|---|---|------------|-----------------|-------|--------------|----------------------------------|
| *EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| JH | 3 | 9 | 1 | 0 | 8 | 0 | 8 | 10/07/1975 | Steward | | | |
| | 3 | 9 | 6 | 3 | 8 | 5 | 6 | 06/15/1976 | Carlson et al. | | | |
| | 3 | 9 | 6 | 6 | 5 | 2 | 5 | 06/29/1976 | Steward | | | |
| | 4 | 2 | 0 | 4 | 5 | 6 | 2 | 05/27/1980 | Kelly | | | |
| | 4 | 3 | 3 | 6 | 7 | 9 | 8 | 06/29/1982 | Beran | | | |
| | 4 | 3 | 3 | 7 | 8 | 0 | 0 | 07/06/1982 | Carlson et al. | | | |
| | 4 | 3 | 4 | 3 | 6 | 7 | 2 | 08/10/1982 | Kanao | | | |
| | 4 | 4 | 9 | 0 | 5 | 7 | 5 | 12/25/1984 | Kutnyak | | | |
| | 4 | 7 | 0 | 5 | 5 | 4 | 3 | 11/10/1987 | Kertzman | | | |
| | 4 | 7 | 0 | 8 | 8 | 3 | 1 | 11/24/1987 | Elsworth et al. | | | |
| | 4 | 9 | 6 | 7 | 7 | 4 | 4 | 11/06/1990 | Chua | | | |
| | 5 | 0 | 4 | 6 | 5 | 3 | 1 | 09/10/1991 | Kanao | | | |
| | 5 | 3 | 5 | 7 | 9 | 4 | 8 | 10/25/1994 | Ellentropp | | | |
| | 5 | 6 | 4 | 0 | 9 | 5 | 1 | 06/24/1997 | Huddart et al. | | | |
| | 5 | 7 | 9 | 8 | 0 | 1 | 3 | 08/25/1998 | Brandenburger | | | |
| | 5 | 9 | 7 | 5 | 1 | 4 | 4 | 11/02/1999 | Akado et al. | | | |
| JH | 6 | 3 | 6 | 7 | 5 | 1 | 0 | 04/09/2002 | Carlson | | | |
| | 6 | 3 | 9 | 4 | 1 | 4 | 5 | 05/28/2002 | Bally | | | |
| | 6 | 5 | 3 | 6 | 4 | 2 | 8 | 03/25/2003 | Smith et al. | | | |

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| <p>EXAMINER <i>JH Aftergut</i></p> | <p>DATE CONSIDERED <i>3/16/06</i></p> |
| <p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 608; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/656,574 |
| Filing Date | September 5, 2003 |
| First Named Inventor | Daniel John Smith |
| Art Unit | 1733 |
| Examiner Name | Jayme L. Brown |
| Attorney Docket Number | 1171/41365/135 |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| JLB | | US- 6,973,929 B2 | 12-13-2005 | Gunaratnam | |
| | | US- | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁴ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | DE 100 46 172 C2 | 11-21-2002 | Joseph Norres | | |
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Examiner Signature

JLB

Date

Considered

3-16-06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

* CITED ON 892 WITH PARTIAL MACHINE TRANSLATION

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

CONDUIT AND METHOD OF FORMING

Application Number : 10/656574



Confirmation Number: 7897

First Named Applicant: Daniel Smith

Attorney Docket Number: 1171/41365/Case 135

Art Unit: 1733

Examiner: Jeff H. Aftergut

Search string: (3739815 or 3866632 or 4304266 or 4381210 or 4488921 or 6024131 or 6302152 or 20020170940).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------------|----------|------------|------------|-----------------|---------------|-------|----------|
| <i>gml</i> | 1 | 3739815 | 1973-06-19 | Rejeski | | | |
| | 2 | 3866632 | 1975-02-18 | Schaffer | | | |
| | 3 | 4304266 | 1981-12-08 | Kutnyak et al. | | | |
| | 4 | 4381210 | 1983-04-26 | Ishizuka et al. | | | |
| | 5 | 4488921 | 1984-12-18 | Dougherty | | | |
| | 6 | 6024131 | 2000-02-15 | Lester et al. | | | |
| <i>gml</i> | 7 | 6302152 | 2001-10-16 | Mulligan | B1 | | |

US Published Applications

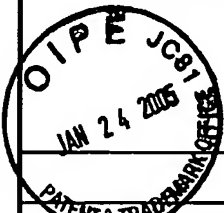
Note: Applicant is not required to submit a paper copy of cited US Published Applications

| init | Cite.No. | Pub. No. | Date | Applicant | Kind | Class | Subclass |
|------------|----------|-------------|------------|---------------|------|-------|----------|
| <i>gml</i> | 1 | 20020170940 | 2002-11-21 | Kazama et al. | A1 | | |

Signature

| Examiner Name | Date |
|--------------------|---------|
| <i>JH Aftergut</i> | 3-16-06 |

**SUPPLEMENTAL INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT**
Sheet 1 of 1



| | |
|----------------------|--------------------------|
| Application No.. | 10/656,574 |
| Filing Date: | September 5, 2003 |
| Inventors: | Daniel John Smith et al. |
| Art Unit: | 1733 |
| Examiner Name: | Jeff H. Aftergut |
| Attorney Docket No.: | 1171/41365/Case 135 |

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| JH | 3,834,257 | September 10, 1974 | Ganser |
| JH | 3,294,609 | December 27, 1966 | Foll |
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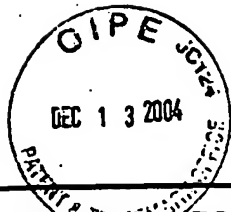
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| Examiner Initial* | Country Code | DOCUMENT NUMBER | DATE | Name | T |
|----------------------|-----------------|-----------------|-------------------|-------------------------|---|
| JH | WO | 97/24543 | July 10, 1997 | Gooch et al. | |
| JH | EP | 1 153 627 A2 | November 14, 2001 | Fisher & Paykel Limited | |
| JH | GB | 1 463 083 | February 2, 1977 | Titeflex Inc. | |
| | | | | | |

OTHER DOCUMENTS

| Examiner Initial* | | T |
|----------------------|--|---|
| JH | European Search Report dated March 16, 2004 which issued in connection with corresponding European Application No. 03020268. | |
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| EXAMINER <i>J H Aftergut</i> | DATE CONSIDERED 3/16/06 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |



**SUPPLEMENTAL INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT**
Sheet 1 of 1

Application No.: **10/656,574**
Filing Date: **September 5, 2003**
Inventors: **Daniel John Smith et al.**
Art Unit: **1733**
Examiner Name: **Jeff H. Aftergut**
Attorney Docket No.: **1171/41365/Case 135**

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | DOCUMENT NUMBER | DATE | NAME |
|----------------------|-----------------|------------------|------------|
| <i>JH</i> | 1,558,804 | October 27, 1925 | Greenewald |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Country Code | DOCUMENT NUMBER | DATE | Name | T |
|----------------------|-----------------|-----------------|----------------|---------------|---|
| <i>JH</i> | GB | 863105 | March 15, 1961 | Titeflex Inc. | |
| <i>JH</i> | GB | 863106 | March 15, 1961 | Titeflex Inc. | |
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OTHER DOCUMENTS

| Examiner Initial* | | T |
|----------------------|--|---|
| <i>JH</i> | European Search Report dated November 3, 2004 which issued in connection with corresponding European Application No. 04021352.2. | |
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JH Aftergut

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

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|--|----|--|---|---|---|-----------------------|---|------------|---------------------|---------------------|-------|--------------|----------------------------------|--|
| Form PTO-1449 (Rev. 2-83) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. 1171/41365 Case 135 | | SERIAL NO. 10/656,574 | | | | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT: Smith et al. | | | | | | | | | | |
| | | | | FILING DATE: September 5, 2003 | | GROUP | | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
| JAH | | 3 | 8 | 8 | 9 | 7 | 1 | 7 | 08/17/1975 | Obadal et al. | | | | |
| | | 4 | 0 | 0 | 7 | 7 | 3 | 7 | 02/15/1977 | Paluch | | | | |
| | | 4 | 2 | 0 | 7 | 4 | 5 | 7 | 06/10/1980 | Haglund et al. | | | | |
| | | 4 | 2 | 6 | 5 | 2 | 3 | 9 | 05/05/1981 | Fischer, Jr. et al. | | | | |
| | | 4 | 3 | 2 | 7 | 7 | 7 | 5 | 05/04/1982 | Tally | | | | |
| | | 4 | 4 | 2 | 0 | 0 | 1 | 6 | 12/13/1983 | Nichols | | | | |
| | | 4 | 4 | 5 | 6 | 0 | 3 | 4 | 06/26/1984 | Bixby | | | | |
| | | 4 | 6 | 5 | 3 | 5 | 4 | 2 | 03/31/1987 | Tascher | | | | |
| | | 4 | 6 | 8 | 6 | 3 | 5 | 4 | 08/11/1987 | Makin | | | | |
| | | 4 | 6 | 9 | 8 | 8 | 9 | 0 | 10/13/1987 | Neaves | | | | |
| | 4 | 7 | 9 | 1 | 9 | 6 | 3 | 12/20/1988 | Gronert et al. | | | | | |
| | 5 | 8 | 9 | 4 | 8 | 3 | 9 | 04/20/1999 | Rosenkoetter et al. | | | | | |
| | 6 | 0 | 7 | 8 | 7 | 3 | 0 | 06/20/2000 | Huddart et al. | | | | | |
| | 6 | 1 | 4 | 8 | 8 | 1 | 8 | 11/21/2000 | Pagan | | | | | |
| JAH | 6 | 1 | 6 | 7 | 8 | 6 | 3 | 01/02/2001 | Beran et al. | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO | |
| JAH | WO | 9 | 7 | 1 | 8 | 0 | 0 | 1 | 05/22/1997 | International | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | | | | | | | |
| JAH | | United States Patent Application Publication No. 2002/0002976 Published on January 10, 2002 entitled Conduit With Heated Wick; Inventors Smith and Baldwin | | | | | | | | | | | | |
| EXAMINER: JAH | | | | | | | | | | | | | | |
| DATE CONSIDERED: 3/16/04 | | | | | | | | | | | | | | |
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